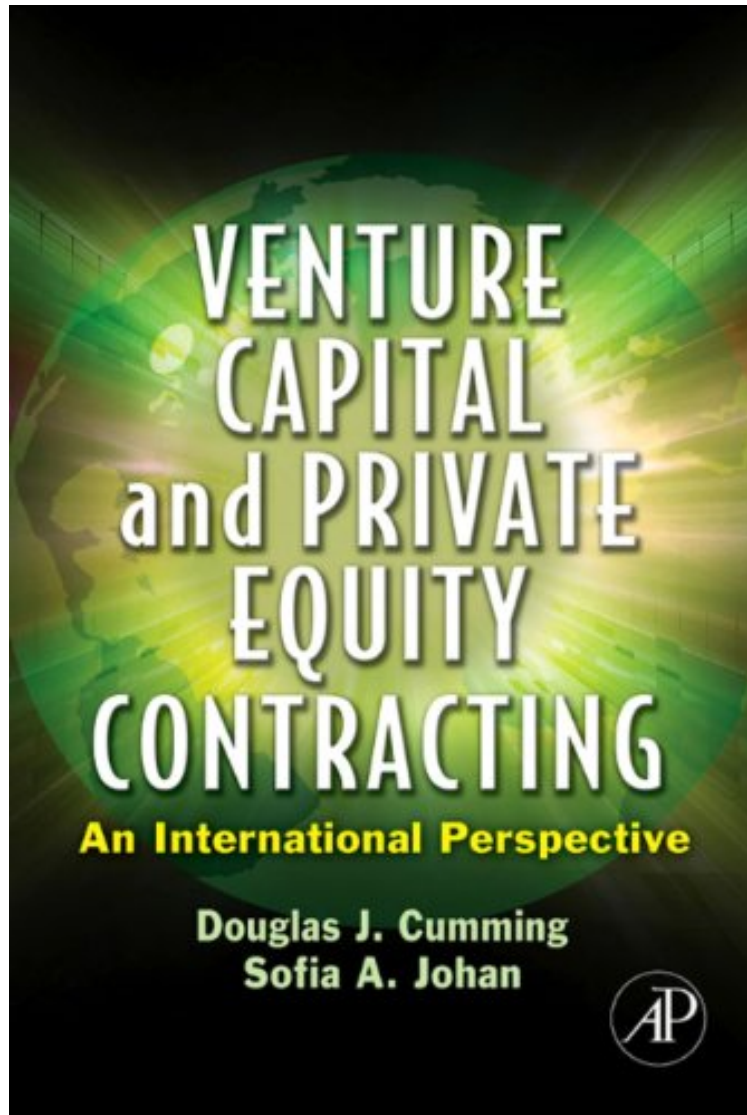


Venture Capital and Private Equity Contracting: An International Perspective

Douglas J. Cumming, Sofia A. Johan
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Other books present corporate finance approaches to the VC/PE industry, but many key decisions require an

understanding of the ways that law and economics work together. Venture Capital and Private Equity Contracting is better than straight corporate finance textbooks because it offers broad perspectives and principles that enable readers to deduce the economic implications of specific contract terms. This approach avoids the common pitfalls of implying that contractual terms apply equally to firms in any industry anywhere in the world. Explores the economic implications of contract terms for start-up firms in various industries Pairs international data with explanations and examples about differences in VC and PE national and regional markets Contains sample contracts, including limited partnership agreements, term sheets, shareholder agreements, and subscription agreements Presents international datasets on limited partnership agreements between institutional investors and VC and PE funds

"This volume complements existing books on the venture capital and private equity industry by focusing on financial contracting, and hence around the crucial aspect of the private equity industry."--Uwe Walz, Goethe University Frankfurt/Main

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"Cumming and Johan examine the important topics not covered by existing books."--Masako Ueda, University of Wisconsin-Madison

About the Author Douglas Cumming, J.D., Ph.D., CFA, is a Professor of Finance and Entrepreneurship and the Ontario Research Chair at the Schulich School of Business, York University. His research interests include venture capital, private equity, hedge funds, entrepreneurship, and law and finance. He is a Co-Editor of *Entrepreneurship Theory and Practice*, and has been a guest editor for 12 special issues of top journals. He has published over 90 articles in leading refereed academic journals in finance, management, and law and economics, such as the *Journal of Financial Economics*, *Journal of Financial Studies*, *Journal of International Business Studies*, and the *Journal of Empirical Legal Studies*. His work has been reviewed in numerous media outlets, including *The Economist*, *Canadian Business*, the *National Post*, and *The New Yorker*. He is a research associate with the Bocconi University Paolo Baffi Center for Central Banking and Financial Regulation (Milan), Groupe d'Economie Mondiale at Sciences Po (Paris), Capital Markets CRC (Sydney), Venture Capital Experts (New York), Cambridge University ESRC Center for Business Research (Cambridge UK), Center for Financial Studies (Frankfurt), Amsterdam Center for Research in International Finance, and the University of Calgary Van Horne Institute. He has also consulted for a variety of governmental and private organizations in Australasia, Europe and North America. Much of Douglas Cummings's work is online at SSRN:

<http://ssrn.com/author=75390> Sofia Johan, LL.B (Liverpool), LL.M. in International Economic Law (Warwick), Ph.D. in Law and Economics (Tilburg), is the AFM Senior Research Fellow at the Tilburg Law and Economics Centre (TILEC) in The Netherlands and Adjunct Professor of Law and Finance at the Schulich School of Business, York University. Her research is primarily focused on law and finance, market surveillance, hedge funds, venture capital, private equity and IPOs. Her work has been presented at the American Law and Economics Association, the American Economics Association, European Law and Economics Association, the European Financial Management Association, and other leading international conferences. Her recent publications have appeared in numerous journals including the *Journal of Financial Economics*, *Journal of International Business Studies*, *American Law and Economics*, *International of Law and Economics*, *Journal of Banking and Finance*, *European Financial Management*, *European Economic*, and *Entrepreneurship Theory and Practice*, among numerous other journals. Prior to winning her Ph.D., she was the head legal counsel at the largest government owned venture capital fund in Malaysia. She has also consulted for a variety of governmental and private organizations in Australasia and Europe.

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